

## ONLINE APPLICATION



**STATE OF TENNESSEE  
DEPARTMENT OF COMMERCE AND INSURANCE  
COLLECTION SERVICE BOARD  
500 JAMES ROBERTSON PARKWAY  
NASHVILLE, TENNESSEE 37243  
615-741-1741**

### COLLECTION SERVICE LICENSE APPLICATION INFORMATION

TENNESSEE CODE ANNOTATED REQUIRES THAT AN APPLICATION FOR A LICENSE AS A COLLECTION SERVICE SHALL BE ACCOMPANIED BY THE FOLLOWING:

1. A complete application
2. A non-refundable application fee of one hundred fifty dollars (\$150)
3. A current personal and/or corporate financial statement prepared by a licensed public accountant and/or a certified public accountant
4. A surety bond executed by the applicant and a surety company authorized to do business in this state made payable to the State of Tennessee. The amount of this surety bond shall be pro-rated and based on the certified number of employees per collection agency as follows:
  - [a] 1-4 employees - fifteen thousand dollars (\$15,000);
  - [b] 5-9 employees - twenty thousand dollars (\$20,000);
  - [c] 10 or more employees - twenty five thousand dollars (\$25,000); or
  - [d] Instead of such bond, a certificate of deposit shall be conditioned that the applicant shall faithfully and truly perform all agreements entered into with its clients and the net proceeds of all collections in accordance with this chapter:  
and
5. A satisfactory proposed budget of monthly operating expenses for the first (6) months of operation
6. Business tax (City and county tax-Tennessee residents only)

THE BOARD REQUESTS THAT CORPORATIONS SUBMIT A COPY OF THEIR ARTICLES OF INCORPORATION.

**PAGE 2**

MANAGER- TENNESSEE CODE ANNOTATED requires that "every collection service shall have, for each location which business is conducted, a location manager who has passed the Collection Service Board Examination".

LICENSE FEE- After the Board has approved your application, you may obtain a Collection Service Board license by submitting a fee of six hundred dollars (\$600.00). YOU WILL BE NOTIFIED UPON APPROVAL.

SOLICITOR IDENTIFICATION CARD- No person may act as a solicitor for any collection service unless he/she possess a valid solicitor's identification card. Solicitor cards may be obtained by submitting twenty-five dollars (\$25.00) for each card requested.



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**APPLICATION FOR A COLLECTION SERVICE LICENSE TO OPERATE IN TENNESSEE**

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FEES: Application Fee - \$150.00 \_\_\_\_\_ ENCLOSE THE FOLLOWING WITH THIS  
License Fee - \$600.00 \_\_\_\_\_ APPLICATION:  
Solicitors Card \$25.00 ea \_\_\_\_\_

Bond or letter of credit \_\_\_\_\_  
Completed Application \_\_\_\_\_  
Financial Statement \_\_\_\_\_  
Proposed Budget \_\_\_\_\_  
Proof of Privilege tax \_\_\_\_\_

=====

**NOTE: ALL ANSWERS MUST BE TYPED OR PRINTED. ALL APPLICABLE QUESTIONS  
MUST BE ANSWERED FULLY, OTHERWISE YOUR APPLICATION WILL NOT BE REVIEWED.**

\_\_\_\_\_  
DATE

\_\_\_\_\_  
AGENCY NAME (AS DESIRED ON CERTIFICATE)

\_\_\_\_\_  
STREET

\_\_\_\_\_  
P.O. BOX

\_\_\_\_\_  
BUILDING

\_\_\_\_\_  
CITY

\_\_\_\_\_  
STATE

\_\_\_\_\_  
ZIP CODE

\_\_\_\_\_  
TELEPHONE NUMBER AND AREA CODE

\_\_\_\_\_  
OTHER AGENCY NAME (S) OPERATING UNDER

OUR AGENCY IS: (CHECK ONE)

a. \_\_\_\_\_ Sole Proprietorship      b. \_\_\_\_\_ Partnership      c. \_\_\_\_\_ Corporation      d. \_\_\_\_\_ Other (Explain)

Section (d) should include merchant owned, association, foreign agency, etc.

EXPLANATION:

ANSWER ONLY THE FOLLOWING SECTION THAT PERTAINS TO YOUR TYPE OF AGENCY

[A] SOLE PROPRIETORSHIP (List all parties that have financial interest in the agency)

NAME	RESIDENCE ADDRESS
------	-------------------

NAME	RESIDENCE ADDRESS
------	-------------------

NAME	RESIDENCE ADDRESS
------	-------------------

[B] PARTNERSHIP

NAME	% OWNERSHIP	RESIDENCE ADDRESS
------	-------------	-------------------

NAME	% OWNERSHIP	RESIDENCE ADDRESS
------	-------------	-------------------

NAME	% OWNERSHIP	RESIDENCE ADDRESS
------	-------------	-------------------

(IF MORE THAN THREE (3) PARTNERS, PLEASE LIST FULL INFORMATION OF OTHER PARTNERS ON SEPARATE SHEETS SHOWING SAME INFORMATION AS ABOVE)

[C] CORPORATION

PRESIDENT	% STOCK OWNED	RESIDENCE ADDRESS
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VICE PRESIDENT	% STOCK OWNED	RESIDENCE ADDRESS
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SECRETARY	% STOCK OWNED	RESIDENCE ADDRESS
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TREASURER	% STOCK OWNED	RESIDENCE ADDRESS
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LIST OTHER OFFICERS OR STOCKHOLDERS WHO HAVE A RIGHT TO PARTICIPATE IN MANAGEMENT OF THIS CORPORATION.

NAME AND TITLE	% STOCK OWNED	RESIDENCE ADDRESS
----------------	---------------	-------------------

NAME AND TITLE	% STOCK OWNED	RESIDENCE ADDRESS
----------------	---------------	-------------------

NAME AND TITLE	% STOCK OWNED	RESIDENCE ADDRESS
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DATE YOUR CORPORATION CHARTERED IN TENNESSEE: \_\_\_\_\_

IF OTHER THAN FOREIGN AGENCY, FILL IN BELOW:

NAME AND TITLE	% STOCK OWNED	RESIDENCE ADDRESS
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NAME AND TITLE	% STOCK OWNED	RESIDENCE ADDRESS
----------------	---------------	-------------------

NAME AND TITLE	% STOCK OWNED	RESIDENCE ADDRESS
----------------	---------------	-------------------

GIVE ADDITIONAL INFORMATION ABOUT THIS AGENCY OR OWNERS THAT MAY BE NECESSARY.  
IF ADDITIONAL SPACE IS NEEDED, USE OTHER PAPER.

IF YOU ARE A FOREIGN CORPORATION ANSWER BELOW:

1. IN WHAT STATE IS YOUR AGENCY DOMICILED? \_\_\_\_\_

2. HOW LONG HAS THIS CORPORATION BEEN IN EXISTENCE? \_\_\_\_\_

NAME AND ADDRESS OF COUNSEL OR AGENT IN TENNESSEE FOR CONTACTS BY BOARD AND FOR  
SERVICE OF LEGAL PROCESS: (IN ACCORDANCE WITH TENNESSEE CODE ANNOTATED 62-20-117 (b) (1))

NAME AND TITLE
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STREET	CITY	STATE	ZIP CODE
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ADDITIONAL INFORMATION: \_\_\_\_\_

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ALL QUESTIONS TO FOLLOW MUST BE ANSWERED BY ALL CLASSIFICATIONS OF AGENCIES:

LIST THE PLACES THIS AGENCY HAS BEEN ENGAGED IN ANY KIND OF BUSINESS OR VOCATION FOR THE  
PAST SEVEN (7) YEARS. (LIST THE MOST RECENT FIRST)

a. \_\_\_\_\_

NATURE OF BUSINESS

EMPLOYER

ADDRESS

\_\_\_\_\_ FROM \_\_\_\_\_ TO \_\_\_\_\_

b. \_\_\_\_\_  
NATURE OF BUSINESS

EMPLOYER

ADDRESS

FROM \_\_\_\_\_ TO \_\_\_\_\_

c. \_\_\_\_\_  
NATURE OF BUSINESS

EMPLOYER

ADDRESS

FROM \_\_\_\_\_ TO \_\_\_\_\_

2. HAVE YOU EVER BEEN DENIED A LICENSE IN ANOTHER STATE? YES \_\_\_\_\_ NO \_\_\_\_\_  
IF THE ANSWER IS "YES", PLEASE GIVE A FULL EXPLANATION ON A SEPARATE SHEET OF PAPER.

3. IN THE LAST SEVEN (7) YEARS, HAVE YOU:

a. BEEN CONVICTED IN ANY COURT OF FRAUD? YES \_\_\_\_\_ NO \_\_\_\_\_

b. BEEN CONVICTED OF OR HAD JUDGEMENT ENTERED AGAINST YOU FOR FAILURE TO PAY  
ACCOUNT TO A CLIENT FOR MONEY OR PROPERTY COLLECTED BY YOU FOR THE  
CLIENT?

YES \_\_\_\_\_ NO \_\_\_\_\_

c. BEEN CONVICTED OF A MISDEMEANOR OR FELONY? YES \_\_\_\_\_ NO \_\_\_\_\_

d. DO YOU NOW HAVE ANY CIVIL ACTIONS PENDING AGAINST YOU OR YOUR AGENCY?

YES \_\_\_\_\_ NO \_\_\_\_\_

e. DO YOU NOW HAVE ANY FELONY OR MISDEMEANOR CHARGES PENDING AGAINST YOU  
OR YOUR AGENCY? YES \_\_\_\_\_ NO \_\_\_\_\_

IF YOUR ANSWER (S) TO ANY PARTS OF QUESTION THREE (3) ARE ANSWERED "YES", PLEASE GIVE FULL  
EXPLANATION IN THE SPACE PROVIDED OF ON A SEPARATE SHEET OF PAPER.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

4. IN THE LAST SEVEN (7) YEARS, HAVE YOU HAD ANY TYPE OF CIVIL JUDGEMENT AGAINST YOUR  
AGENCY? YES \_\_\_\_\_ NO \_\_\_\_\_ DESCRIBE IF ANSWER IS "YES".

\_\_\_\_\_  
\_\_\_\_\_

5. IF YOU ARE AN ATTORNEY APPLYING FOR A LICENSE, IN THE LAST SEVEN (7) YEARS HAS YOUR

LICENSE TO PRACTICE LAW BEEN SUSPENDED AND/OR REVOKED? YES\_\_\_\_\_ NO\_\_\_\_\_

DESCRIBE IF ANSWER IS "YES"\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

6. GIVE THE NAMES AND ADDRESS OF SIX (6) CLIENTS THAT YOU ARE NOW SERVING ALONG WITH APPROXIMATE LENGTH OF TIME YOU HAVE SERVED THEM.

a. \_\_\_\_\_

NAME			TIME SERVED
------	--	--	-------------

STREET	CITY	STATE	ZIP CODE
--------	------	-------	----------

b. \_\_\_\_\_

NAME			TIME SERVED
------	--	--	-------------

STREET	CITY	STATE	ZIP CODE
--------	------	-------	----------

c. \_\_\_\_\_

NAME			TIME SERVED
------	--	--	-------------

STREET	CITY	STATE	ZIP CODE
--------	------	-------	----------

d. \_\_\_\_\_

NAME			TIME SERVED
------	--	--	-------------

STREET	CITY	STATE	ZIP CODE
--------	------	-------	----------

e. \_\_\_\_\_

NAME			TIME SERVED
------	--	--	-------------

STREET	CITY	STATE	ZIP CODE
--------	------	-------	----------

f. \_\_\_\_\_

NAME			TIME SERVED
------	--	--	-------------

STREET	CITY	STATE	ZIP CODE
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7. DO YOU AFFIRM AT THIS POINT IN THE APPLICATION THAT YOU REMIT TO YOUR CLIENTS MONIES COLLECTED FOR THEM WITHIN THIRTY (30) DAYS AFTER THE CLOSE OF EACH MONTH UNLESS OTHER ARRANGEMENTS HAVE BEEN MADE WITH SPECIFIC CLIENTS? YES\_\_\_\_\_NO\_\_\_\_\_

PLEASE WRITE ANSWER AND INITIAL:\_\_\_\_\_

IF ANSWER IS "NO" PLEASE EXPLAIN:\_\_\_\_\_

8. NAMES OF BANK (S) WHERE YOU DEPOSIT CLIENTS' MONEY, ALONG WITH ACCOUNT NUMBER:

a. \_\_\_\_\_

b. \_\_\_\_\_

c. \_\_\_\_\_

9. NAMES OF BANKS YOU DEAL WITH PERSONALLY ALONG WITH ACCOUNT NUMBERS:

a. \_\_\_\_\_

b. \_\_\_\_\_

c. \_\_\_\_\_

10. IN THE LAST SEVEN (7) YEARS, HAVE YOU OR YOUR AGENCY BEEN DECLARED BANKRUPT?  
YES \_\_\_\_\_ NO \_\_\_\_\_

11. HAVE YOU EVER BEEN ACTIVE FINANCIALLY IN ANY BUSINESS THAT HAS BEEN DECLARED  
BANKRUPT? YES \_\_\_\_\_ NO \_\_\_\_\_

12. LIST THE NAMES OF ANY NATIONAL TRADE ASSOCIATIONS DIRECTLY CONNECTED WITH CREDITS  
AND COLLECTIONS THAT YOU ARE A MEMBER.

a. \_\_\_\_\_ b. \_\_\_\_\_

c. \_\_\_\_\_ d. \_\_\_\_\_

13. LIST THE NAMES AND ADDRESSES OF THREE (3) PERSONS (NOT RELATED TO YOU) WHO CAN  
ATTEST TO YOUR REPUTATION FOR HONESTY, GOOD MORAL CHARACTER AND RECOMMEND YOU TO  
THE TENNESSEE COLLECTION SERVICE BOARD.

a. \_\_\_\_\_  
NAME TELEPHONE NUMBER YEARS KNOWN

STREET CITY STATE ZIP CODE

b. \_\_\_\_\_  
NAME TELEPHONE NUMBER YEARS KNOWN

STREET CITY STATE ZIP CODE

c. \_\_\_\_\_  
NAME TELEPHONE NUMBER YEARS KNOWN

STREET CITY STATE ZIP CODE

14. EXPLAIN BRIEFLY WHAT SERVICES YOU RENDER. IF ADDITIONAL SPACE IS NEEDED, ANSWER ON  
SUPPLEMENTAL PAPER.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_



\_\_\_\_\_  
I CERTIFY THAT THE WITHIN AGENCY HAS, OR WILL HAVE THE FOLLOWING NUMBER OF EMPLOYEE'S

- a.      1-4 \_\_\_\_\_  
b.      5-9 \_\_\_\_\_  
c.      10 or more \_\_\_\_\_

UNDER THE PENALTIES OF PERJURY, I DECLARE THAT THE STATEMENTS MADE HEREIN ARE FOR THE PURPOSE OF INDUCING THE ISSUANCE OF A LICENSE AND THAT ALL QUESTIONS HAVE BEEN ANSWERED AND ALL ACCOMPANYING DOCUMENTS HAVE BEEN STATED TO THE BEST OF MY KNOWLEDGE AND BELIEF AND ARE TRUE, CORRECT AND COMPLETE IN EVERY RESPECT.

\_\_\_\_\_  
TYPE OR PRINT YOUR NAME

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
LOCATION MANAGER

\_\_\_\_\_  
LICENSE NUMBER

SUBSCRIBED AND SWORN TO ME BEFORE THIS \_\_\_\_\_ DAY OF \_\_\_\_\_ 20\_\_\_\_\_.

(Seal)

\_\_\_\_\_  
NOTARY PUBLIC

\_\_\_\_\_  
COMMISSION EXPIRES

**CONSENT TO SERVICE OF JURISDICTION**

**KNOW ALL MEN BY THESE PRESENT:**

The undersigned individual,

\_\_\_\_\_

\_\_\_\_\_

being an applicant for license as a non-resident Collection Business or Location Manager of the State of Tennessee, does hereby irrevocably consent, stipulate and agree that suites and actions may be commenced against such applicant in the proper court of any county of the State of Tennessee in which a cause of action may arise in which the plaintiff may reside, by the service of any process or pending authorized by the laws of the State of Tennessee on the Secretary of the Collection Service Board, and that such service of such process or pleading in said Secretary shall be taken and held in all courts to be as valid and binding as if the service had been made upon said applicant in the State of Tennessee.

Signed at \_\_\_\_\_ on the

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

(SEAL)

State of \_\_\_\_\_

County of \_\_\_\_\_

Personally appeared \_\_\_\_\_

\_\_\_\_\_ before the undersigned notary public in and for the above named county and state, the day and date above named, and acknowledged the execution of the foregoing instrument to be voluntary act and deed of such applicant for the purposes therein set forth.

\_\_\_\_\_  
Notary public in and for \_\_\_\_\_

(NOTARIAL SEAL)

County, State of \_\_\_\_\_

My commission expires \_\_\_\_\_



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**REQUEST FOR SOLICITORS IDENTIFICATION CARDS**

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NAME OF COLLECTION SERVICE

---

STREET

P.O. BOX

BUILDING

---

CITY

STATE

ZIP CODE

---

NUMBER OF SOLICITOR CARDS REQUESTING

---

AMOUNT ENCLOSED

\$25.00 EACH

---

LOCATION MANAGER'S SIGNATURE



STATE OF TENNESSEE

VERIFICATION OF REGISTRATION OF COLLECTION SERVICE LICENSE

TENNESSEE COLLECTION SERVICE BOARD, 500 JAMES ROBERTSON PARKWAY, 6TH FLOOR,  
DAVY CROCKETT TOWER, NASHVILLE, TENNESSEE 37243.

PLEASE TYPE THE NAME OF THE BOARD  
VERIFYING REGISTRATION BELOW:

NAME OF APPLICANT

STREET ADDRESS

CITY

STATE

ZIP CODE

Dear Director:

This office has received an application from the above referenced company to conduct business as a collection agency in the State of Tennessee. The application indicates that this collection agency is presently licensed in your State. We would appreciate your response to the following questions:

- (1) Is this collection agency currently licensed in your State? Yes \_\_\_\_\_ No \_\_\_\_\_  
If yes, indicate the date the license was issued \_\_\_\_\_.
- (2) When was this collection agency's license most recently renewed and for what time period?  
Renewal date \_\_\_\_\_ Period \_\_\_\_\_.
- (3) Have you ever taken any enforcement action against this collection agency, begun any steps to revoke their \_\_\_\_\_ license, or taken any kind of formal action against this collection agency?  
No \_\_\_\_\_ Yes \_\_\_\_\_  
If yes, please provide a copy of the enforcement action taken.

Should you have any questions please do not hesitate to contact the Board Office at (615) 741-1741.

(BOARD SEAL)

BY \_\_\_\_\_

TITLE \_\_\_\_\_

DATE \_\_\_\_\_

IN-1380